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PATENT

(4)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Creamer, *et al.*

Application No.: 09/871,402

Group Art Unit:

Filed: May 31, 2001

For: REMOTE ADMINISTRATION AND MONITORING OF A SERVICE  
COMPONENT IN A SERVICE LOGIC EXECUTION ENVIRONMENT

## CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S.  
Postal Service as First Class mail in an envelope addressed to the  
Commissioner for Patents, Washington, D.C. 20231 on

9/4/01

Kevin T. Cuenot

Reg. No. 46,283

Kevin T. Cuenot

TRANSMITTAL LETTERCommissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed the following for filing:

- X Information Disclosure Statement, Form PTO-1449
- X Please charge any deficiencies or credit any overpayment to Deposit Account  
No. 50-0951
- X Other: (1) Postcard

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 9/4/01Kevin T. CuenotGregory A. Nelson, Reg. No. 30,577  
Steven M. Greenberg, Reg. No. 44,725  
Kevin T. Cuenot, Reg. No. 46,283  
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(561) 653-5000

Docket No. 6169-226



PATENT

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Kevin T. Cuenot Reg. No. 46,283  
Kevin T. Cuenot

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

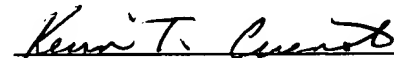
## INFORMATION DISCLOSURE STATEMENT

The submission of the listed documents are not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Date: 9/4/01

  
\_\_\_\_\_  
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Docket No. 6169-226

Form PTO-1449  
(Rev. 2-88)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
6169-226APPLICATION NO.  
09/871,402INFORMATION DISCLOSURE STATEMENT  
BY APPLICANTAPPLICANT  
Creamer, et al.FILING DATE  
May 31, 2001GROUP  
2681

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,006,251	12/21/99	Toyouchi et al.			
	6,098,116	8/1/00	Nixon et al.			
	5,920,692	7/6/99	Nguyen et al.			
	6,061,721	5/9/00	Ismael et al.			
	5,301,270	4/5/94	Steinberg et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Brackenbury, et al., <i>IBM's Enterprise Server for Java</i> , Vol. 37, No. 3, pp. 323-335, (1998).
		T. Spitzer, <i>DBMS, Tools &amp; Strategies for IS Professionals</i> , Vol. 10, No. 10, (Sept. 1997).
		W. Seigneur, <i>The Open Architecture Distributed Switching Model, Building the Network Operating System for the Information Superhighway</i> , SOnTech, Inc. < <a href="http://www.sonetech.com/conferences/nfoec94.html">http://www.sonetech.com/conferences/nfoec94.html</a> >.
		Keijzer, et al., <i>JAIN: A New Approach to Services in Communication Networks</i> , pp. 94-99, IEEE Communications Magazine, (Jan. 2000).
		Jain, et al., <i>Java Call Control, Coordination, and Transactions</i> , pp. 108-114, IEEE Communications Magazine, (Jan. 2000).
		Beddus, et al., <i>Opening Up Networks with JAIN Parlay</i> , pp. 136-143, IEEE Communications Magazine, (Apr. 2000).
		Bhat, et al., <i>JAIN Protocol APIs</i> , pp. 100-107, IEEE Communications Magazine, (Jan. 2000).
		S. Silberstang, <i>Service Creation for Advanced Intelligent Networks Utilizing Intelligent Peripherals</i> , (March 2001) < <a href="http://www.amarex.com/service.html">http://www.amarex.com/service.html</a> >.
		Keijzer, et al., <i>The Telephony Interoperability Challenge</i> , Sun Journal, (March 2001) < <a href="http://www.sun.com/dot-com/sunjournal/v3n2/Telephony.html">http://www.sun.com/dot-com/sunjournal/v3n2/Telephony.html</a> >.
		JAIN™: <i>Integrated Network APIs for the JAVA™ Platform</i> , (Sept. 2000) < <a href="http://www.java.sun.com/products/jain/">http://www.java.sun.com/products/jain/</a> >.
		JAIN™ SCE/SLEE API Specification, (March 2001) < <a href="http://www.javasoft.com/aboutJava/communityprocess/jsr/jsr_022_jsce.html">http://www.javasoft.com/aboutJava/communityprocess/jsr/jsr_022_jsce.html</a> >.
		<i>Software Patent Institute Database of Software Technologies</i> , TDB0499.0069, (Apr. 1999) < <a href="http://www.spi.org">http://www.spi.org</a> >.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.